



(Use as many sheets as necessary)

|                        |                          |
|------------------------|--------------------------|
| Application Number     | 09/237,827               |
| Filing Date            | January 27, 1999         |
| First Named Inventor   | John S. HENDRICKS et al. |
| Art Unit               | 2623                     |
| Examiner Name          | Jason P. Salce           |
| Attorney Docket Number | 026880-00013             |

|       |   |    |   |
|-------|---|----|---|
| Sheet | 1 | of | 1 |
|-------|---|----|---|

## FOREIGN PATENT DOCUMENTS

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

TECH/628000.1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|  |                |    |   |                          |                          |
|--|----------------|----|---|--------------------------|--------------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><b>Form PTO/SB/08a</b><br><i>(Use as many sheets as necessary)</i> |                |    |   | <b>Complete if Known</b> |                          |
|  |                |    |   | Application Number       | 09/237,827               |
|  |                |    |   | Filing Date              | January 27, 1999         |
|  |                |    |   | First Named Inventor     | John S. HENDRICKS et al. |
|  |                |    |   | Art Unit                 | 2623                     |
| Examiner Name  | Jason P. Salce |    |   |                          |                          |
| Sheet  | 1              | of | 1 | Attorney Docket Number   | 026880-00013             |

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant Figures<br>Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                    |                       | US- 5,918,213                            | 06-29-1999                     | Warren E. BERNARD et al.                           |   |
|                    |                       | US-6,334,109                             | 12-25-2001                     | Dimitri KANEVSKY et al.                            |   |
|                    |                       | US-5,128,662                             | 07-07-1992                     | Stephen J. FAILLA                                  |   |
|                    |                       | US-6,486,895                             | 11-26-2002                     | George G. ROBERTSON                                |   |
|                    |                       | US-6,339,842                             | 01-15-2002                     | Dennis SUNGA FERNANDEZ et al.                      |   |
|                    |                       | US-6,314,474                             | 11-06-2001                     | Erik WALTER et al.                                 |   |
|                    |                       | US-5,957,697                             | 09-28-1999                     | Jerry IGGULDEN et al.                              |   |
|                    |                       | US-6,313,828                             | 11-06-2001                     | Carlos Landetta CHOMBO                             |   |
|                    |                       | US-6,657,654                             | 12-02-2003                     | Chandrasekhar NARAYANASWAMI                        |   |
|                    |                       | US-6,847,403                             | 01-25-2005                     | Roy W. FORSBERG, Jr., et al.                       |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited<br>Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) , date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|--|----------------|
|                    |                       | Proceedings of the Symposium on Optical Character Recognition, Washington, D.C., 1962, Edited by Fisher, George, et al., 6 pages.  |                |
|                    |                       | Rethinking Ink, Science News, June 20, 1998, 4 pages.  |                |
|                    |                       | Computer Organization and Design, Patterson, David, and John Hennessy, 1998, 4 pages.  |                |

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**